

2025

BNY Pershing At a Glance

➤ **BNY** | PERSHING

By the Numbers

JUNE 30, 2025

BNY

\$55.8T

*assets under custody
and/or administration*

BNY PERSHING

\$2.1T

*assets under
management*

\$2.8T

in global client assets¹

\$2.0B

net capital²

Many of the world's most sophisticated and successful financial services firms have chosen BNY Pershing for 85 years, and continue to choose us today.

Additional information is available at bny.com/pershing.

At BNY Pershing we consider everything as we help our clients transform their businesses, drive growth, maximize efficiency, and manage risk and regulation. Our clients—in both the wealth and institutional segments—gain the unique benefit of scale from working with one strategic partner as we deliver solutions from the whole firm.

Our clients rely on us for clearing and custody, trading and settlement services, a broad suite of investment solutions, middle and back office support, data insights, and business consulting. We bring together high-touch service, an open digital platform and the BNY enterprise to deliver a differentiated experience for every client, all from one strategic partner.

In an ever-changing marketplace, we have been trusted, tested and proven to protect our clients' interests while providing them with innovative solutions. Our clients are leaders in their fields and they choose BNY Pershing because we are a leader in ours.

¹ Pershing LLC and its global affiliates

² Pershing LLC

Fast Facts

OVER

100,000

Professionals use our technology platform for advisors and broker-dealers

WE SERVE APPROXIMATELY

1,000+

Clients in 30 countries

WE SERVE OVER

8M

Global investor accounts

We provide clearing and custody in **64 markets**, execution in over **60 markets globally** and facilitate the trading and conversion of **46 currencies**.

BNY WAS FOUNDED BY

ALEXANDER HAMILTON

The members of our highly knowledgeable and skilled management team provide stable leadership averaging **more than a decade** with BNY Pershing.

BNY PERSHING WAS FOUNDED BY
THE SON OF WWI GENERAL

JOHN J. PERSHING

In 1939

Industry Awards and Recognition

LAROCHE

BNY Pershing was again named the #1 U.S. clearing firm, based on the number of broker-dealer clients, by LaRoche Research Partners LLC (2025).

HFM

BNY Pershing was named “Best Prime Broker - Capital Introduction” at the HFM US Services Awards (2024).

DALBAR

For the fifth consecutive year, BNY Pershing achieved the #1 ranking for brokerage statements in DALBAR’s annual Trends & Best Practices in Investor Statements, achieving an all-time high time score. This is the sixteenth consecutive year that our brokerage account statement has received the “Excellent” rating from DALBAR, an independent firm that identifies best-in-class communications that assist clients in understanding and managing investments (2023).

PRIVATE ASSET MANAGEMENT

BNY Pershing won DE&I Initiative of the Year from Private Asset Management (PAM) for its Minority Business Enterprise Advisory Client Council program. PAM recognizes industry leaders and innovators (2023).

GLOBAL FINANCE

Global Finance magazine named Wove on of the World’s Best Innovations calling it a “ground-breaking wealth management platform.” (2024)

CELENT

Wove has been recognized by Celent’s Model Wealth Manager Awards in the “Streamlining Advisor Workflows” category. (2024)

GLOBAL CUSTODIAN

Global Custodian awarded BNY Pershing the Excellence in Prime Brokerage Operations award (2024).

DATOS INSIGHTS

BNY Pershing X received an Impact Award in the Technology Transformation category, which recognizes financial institutions that stand out for leveraging technology to innovate and surpass the status quo with new products, capabilities and levels of automation. (2023)

Strengthen Your Success With Our Solutions

Let us help you plan for the impact of changing markets and regulations.

Maximize new wealth management and investment strategies to improve profitability and drive business growth.

Leverage emerging technology and big data to create efficient processes and capability, and drive decisions.

Leverage the strength and scale of BNY for financing, collateral management and global trade execution.

Insights

For our latest commentary and actionable insights on industry trends and topics of interest, visit the Insights section on bny.com/pershing. Recent highlights include:

CURRENT PERSPECTIVES ON M&A WITHIN WEALTH MANAGEMENT

Consolidation continues across the wealth management industry as buyers compete for a limited universe of firms. What's behind this trend and how might it benefit your firm as you build for the future? What should you know and do before making a deal?

TALENT STRATEGIES FROM RECRUITMENT TO RETENTION

Explore the strategies firms are using to source talent, the challenges they face in attracting talent, and how they are working to retain and develop that top talent once they've been hired.

IN SEARCH OF THE EXCEPTIONAL CLIENT EXPERIENCE

BNY Pershing Head of Global Strategy and BNY Pershing X President Ainslie Simmonds shares how the right technology allows firms to move faster and be more productive on behalf of their clients.

WHAT INVESTORS ACTUALLY WANT FROM THEIR ADVISORS

Attracting and retaining affluent clients by successfully providing a broad array of services is the objective of most financial advisors. To better understand how advisors can meet the evolving needs of these clients, BNY Pershing, in partnership with WealthManagement IQ, recently conducted two related surveys focused on advisors' service offerings.

SUCCESS STARTS WITH THE CLIENT: A CONVERSATION ON DRIVING CLIENT CENTRICITY

Cathinka Wahlstrom, BNY Chief Commercial Officer, and Jim Crowley, Global Head of BNY Pershing, discuss BNY's unique approach to helping clients grow — from moving at speed to address industry megatrends to co-developing innovative solutions with clients.

THE DEMOCRATIZATION OF DIRECT INDEXING

Direct Indexing has been popular for a select group of investors for decades. But thanks to recent advances, its potential benefits are more widely available. Direct indexing (DI) is not a new concept, and many select investors have benefited from the investment approach for years. Historically, DI strategies were primarily available for high-net-worth investors whose large portfolios justified the costs and human resources required to manage portfolios in a customized manner. DI's recent rise in popularity is largely due to investment managers' ability to streamline and efficiently manage the process through technology at scale. This has resulted in lower operating costs, which has opened the door to more investors to explore direct indexing's potential benefits.

FIVE ACTIONABLE INSIGHTS TO HELP YOU MEET YOUR HNW AND UHNW CLIENT NEEDS

Attracting and retaining affluent clients by successfully providing a broad array of services is the objective of most financial advisors. Toward that end, BNY Pershing in partnership with WealthManagement IQ recently conducted two related surveys that contained questions about advisor performance. In the first, advisors were asked for their views on how high-net-worth (HNW) and ultra-high-net-worth (UHNW) clients perceive them in the areas of service delivery, product knowledge and overall satisfaction. In the second survey, HNW and UHNW investors gave their views on the same issues. The areas where the views of advisors and investors align as well as diverge are illuminating.

DATA SHARE: THE NEXT LEVEL IN DATA MANAGEMENT

Discover the next level in data management, enabling firms to make informed decisions, drive growth, and stay ahead of the competition. Learn how to unlock the full potential of your data and take your business to new heights.

ABOUT BNY PERSHING

BNY Pershing (member FINRA, NYSE, SIPC) is a leading provider of clearing and custody, trading and settlement, advisory and investment solutions, data insights, business consulting and other services to wealth management and institutional firms looking to grow their businesses. For more information, go to: www.bny.com/pershing.

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The use of the firm's platforms and technology may be affected by factors such as trading volume, market conditions, system performance and/or other factors. BNY Pershing actively monitors the technology environment and endeavors to resolve issues promptly through various means.

bny.com/pershing

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