

EDUCATIONAL MODULES & GLOSSARY

A series of structured investment training modules coupled with a glossary, have been incorporated into the platform to help educate advisors about structured investments. Each course is followed by a brief multiple-choice assessment.

Navigate to the education modules by clicking:

“Learn” > “Education Center” found within the Halo platform

Access The New Issue Structured Investment Offerings

Log in to
NetX360

1

Click Trading >
Fixed Income Center

2

From the New Issue
section of the screen,
select “Structured
Products – Halo”

3

Calendar page will
display and contain all
available structured
investments your firm
has elected to offer

4

Offerings can be further refined by CUSIP, Underlying Security, Note Type, Type of Protection (e.g., Hard vs. Soft or Conditional), Level of Protection, Expiration Date, Term, Issuer, Advisory vs. Brokerage, and more.

LEARN MORE For more information, contact
fixedincomebizdev@pershing.com.

©2023 Pershing LLC. All rights reserved. Pershing LLC, member FINRA, NYSE, SIPC, is a subsidiary of The Bank of New York Mellon Corporation (BNY Mellon). Pershing Advisor Solutions LLC, member FINRA, SIPC, and BNY Mellon, N.A., member FDIC, are affiliates of Pershing LLC. Affiliated investment advisory services, if offered, are provided by Lockwood Advisors, Inc., an investment adviser registered in the United States under the Investment Advisers Act of 1940. Technology services may be provided by Pershing X, Inc.

For professional use only. Not intended for use by the general public. Trademark(s) belong to their respective owners. This material is for general information purposes only and is not intended to provide legal, tax, accounting, investment, financial or other professional advice on any matter. Pershing is not responsible for updating any information contained within this material and information contained herein is subject to change without notice.

pershing.com

One Pershing Plaza, Jersey City, NJ 07399

WRQ-1917_FS_HALO Structured Products_0423