

DEFINITIONS AND USAGE OF FORMS

BNY Mellon Brokerage Account Opening Forms

The BNY Mellon Brokerage Account Application

To open an individual, joint, trust, estate, or custodian account.

The BNY Mellon Brokerage Account Retirement Application

To open an IRA account.

The BNY Mellon Brokerage Account Business Application

To open a business account

Transfer Form

To transfer assets from another firm or your Mutual Fund(s) to your BNY Mellon Brokerage account