DEFINITIONS AND USAGE OF FORMS

BNY Mellon Brokerage Account Forms

ACH Debit and Credit Authorization Agreement Form
Use this form to authorize an automatic transfer of money between your bank checking account and your Brokerage Account.

ACH Void Check Approval Form
This form is required to establish ACH (in addition to a Brokerage Investor Services form) so that we may obtain authorization of registrant(s) listed on your checking account that are not listed on your brokerage account.

Affidavit of Domicile Form
To show the residence of decedent at time of death. Affidavit of Domicile may be required when transferring the ownership of a security from a deceased person's name

Brokerage Account Linkage Form
To link two BNY Mellon Brokerage accounts together to waive certain fees (certain restrictions apply).

Combined Statement Mailing Form
To consolidate your brokerage statements that share the same mailing address and provides a complete, easy-to-read summary of all your accounts.

Corporate Resolution Certification
Used on business accounts to identify the authorized signers.

Direct Deposit Form
To have money deposited by your payroll company into your Brokerage Account

Required Minimum Distribution Form
Request your required minimum distribution from your IRA Account.

Full Trading Authorization Form
Full trading authorization with privilege to withdraw money and /or securities

Individual Transfer on Death Form
Establish a Transfer on Death (TOD) registration or update existing beneficiaries on an individual brokerage account.

Investor Services Form
Used to add additional features to your brokerage account such as margin, check writing, debit card or ACH/FED Wire.

IRA Beneficiary Designation Form (Brokerage)
To add or change beneficiaries on a retirement account.

IRA Checking Form
Used to add check writing to a retirement account (Age 59 ½ or above).

DEFINITIONS AND USAGE OF FORMS

IRA Distribution Form
Used to request a distribution from a retirement account.

IRA Plan and Disclosure
Used to inform client of rules and regulations of a retirement account

Irrevocable Stock or Bond Power Form
Used to deposit a physical stock or bond certificate to your brokerage account.

Joint Transfer on Death Form
Establish a Transfer on Death (TOD) registration or update existing beneficiaries on a Joint Brokerage Account.

BNY Mellon Account Limited Liability Company Resolution Certification Form
Used for Limited Liability Company accounts for authorized signers to be able to act

Mail Loss Affidavit Form
Used to report missing or lost securities that are transferred via mail.

Multipurpose Certification Form
This form is to be completed by corporations, partnerships, estates, or other entities to identify those authorized to make all transactions in the account.

Name Change Form
Change the registration on an existing individual and/or IRA account because of a legal name change.

Partnership Account Form
Used for Partnership accounts for authorized signers to be able to act.

Portfolio Evaluation Form
Used to update uncovered cost basis information on your brokerage account.

Power of Attorney Form
Use this form to certify the validity of a Power of Attorney's information (requires a valid Durable Power of Attorney dated within 3 years attached to it.)

Sweep Prospectus
Legal and regulatory Information on the sweeps available to a client

Third Party Release Form
Complete this form if you would like to deposit a stock certificate in a name other than that on your Brokerage Account.

Trust Account Certification Form
Used to add authorized persons to a trust account and to certify the trust powers.

Limited Trading Authorization Form
Gives an individual the ability to make trades only on your behalf.