

DEFINITIONS AND USAGE OF FORMS

Definitions of Mutual Fund Account Opening Forms

Individual Retirement Account Application – Rollover and Transfer Kit

To open an IRA account and initiate a transfer or direct rollover.

Individual Retirement Account Application

To open an IRA account

Coverdell Education Savings Account (ESA) Application

To establish a Coverdell Education Saving Account for an eligible designated beneficiary.

Coverdell Education Savings Account (ESA) Application – Transfer Kit

To transfer or directly roll over all or a portion of your Coverdell Educations Savings Account to a Coverdell Education Savings Account.