

# BNY Mellon Innovators ETF

Fact Sheet | June 30, 2025

Ticker: **BKIV**

## FUND DETAILS

- The fund seeks long-term capital growth, and normally invests principally in equity securities of U.S. innovation-driven companies.
- The fund's managers consider innovation-driven companies to be companies across sectors that provide groundbreaking or innovative products that may transform existing businesses or business models, such as new medical treatments, transportation systems or new software/digital platforms.

## WHY BKIV?

- The fund benefits from Newton's multi-dimensional research platform, with dedicated fundamental, quantitative, and other specialized teams, to help drive informed decision making.
- Allows investors to participate in companies with new cutting edge technology or other innovative products.

### CUSIP

09661T818

### Net Assets

\$16,972,044

### NAV 6/30/25

\$37.72

### Market Price 6/30/25

\$37.74

### Premium/Discount

0.05%

### 30-Day Median Bid-Ask Spread

0.19%

### 20-Day Average Volume

451

### Holdings<sup>2,3</sup>

50

### Dividend Frequency

Annually

### Morningstar Category

Mid-Cap Growth

### Sub-Adviser

Newton Investment Management  
North America, LLC

### Investment Adviser

BNY Mellon ETF Investment  
Adviser, LLC

### Total Expenses (%)

	Gross <sup>†</sup>	Net <sup>††</sup>
Fund	0.50	0.50

### Average Annual Total Returns (as of 6/30/25)

Ticker/Inception Date	3 Month	YTD	1 Year	Inception
BKIV Market Price 5/16/23	21.78%	6.28%	13.44%	21.41%
BKIV NAV 5/16/23	21.78%	6.21%	13.44%	21.37%
Russell 3000™ Growth Index <sup>1</sup>	17.55%	5.80%	16.89%	—

The performance data quoted represents past performance, which is no guarantee of future results. Share price and investment return fluctuate, and an investor's shares may be worth more or less than original cost upon redemption. Current performance may be lower or higher than the performance quoted. Data assumes the reinvestment of dividends and capital gains, if any. Performance for periods less than 1 year is not annualized. Go to [bny.com/investments](https://bny.com/investments) for the fund's most recent month-end returns.

A fund's **NAV** is the sum of all its assets less any liabilities, divided by the number of shares outstanding. **Market Price Performance** is calculated using the most recent NASDAQ Official Closing Price. Market Price returns do not represent investors' returns had they traded shares at other times.

<sup>†</sup>Gross expenses is the total annual operating expense ratio for the fund, before any fee waivers or expense reimbursements. <sup>††</sup>Net Expenses is the total annual operating expense ratio for the fund, after any applicable fee waivers or expense reimbursements. The Net Expenses is the actual fund expense ratio applicable to investors.

The fund is non-diversified, which means that the fund may invest a relatively high percentage of its assets in a limited number of issuers. Therefore, the fund's performance may be more vulnerable to changes in the market value of a single issuer or group of issuers and more susceptible to risks associated with a single economic, political or regulatory occurrence than a diversified fund.

The fund currently does not have a stated benchmark to measure performance against, however comparison of fund performance to an appropriate index indicates how the fund's returns compare with those of a broad measure of market performance. **Past performance is no guarantee of future results.**

<sup>1</sup>The **Russell 3000® Growth Index** measures the performance of the broad growth segment of the U.S. equity universe. It includes those Russell 3000 companies with relatively higher price-to-book ratios, higher forecasted growth rates and higher sales per share historical growth. The index is completely reconstituted annually to ensure new and growing equities are included and that the represented companies continue to reflect growth characteristics. Investors cannot invest directly in any index.

**NAV** is Net Asset Value. **FDIC** is Federal Deposit Insurance Corp. **YTD** is Year to Date. **ETF** is Exchange Traded Fund. **CFA®** and **Chartered Financial Analyst®** are registered trademarks owned by the CFA Institute.

Not FDIC-Insured. Not Bank-Guaranteed. May Lose Value.

 **BNY** | INVESTMENTS

# BNY Mellon Innovators ETF

Top 10 Holdings <sup>2,3</sup>		Sector Allocation <sup>2,3</sup>		Market Cap Exposure <sup>2,3</sup>	
Company	Fund	Sector	Fund	Market Cap	Fund
NVIDIA	15.55%	Information Technology	33.12%	Mega Cap (Over \$25B)	55.50%
Netflix	5.90%	Health Care	29.52%	Large Cap (\$10B-\$25B)	20.46%
Alphabet, Class C	5.76%	Communication Services	16.30%	Mid Cap (\$3B-\$10B)	18.89%
Insmed	4.99%	Consumer Discretionary	9.21%	Small Cap (Under \$3B)	5.15%
IRhythm Technologies	3.40%	Real Estate	3.32%		
CoStar Group	3.32%	Consumer Staples	2.96%		
Klaviyo	2.84%	Industrials	2.31%		
Repligen	2.52%	Financials	1.75%		
Shopify	2.46%	Energy	1.10%		
Axon Enterprise	2.31%	Cash	0.41%		
The holdings listed should not be considered recommendations to buy or sell a security. Large concentrations can increase share price volatility.		Totals may not add up to 100% due to rounding.			

## Portfolio Manager(s)

John Porter  
Fund 2023  
Industry 1994

Investors should consider the investment objectives, risks, charges and expenses of an ETF carefully before investing. To obtain a prospectus, or a summary prospectus, if available, that contains this and other information about an ETF, contact your financial professional. For more information, call 1-800-373-9387 or visit [bny.com/investments](https://bny.com/investments). Please read the prospectus carefully before investing.

<sup>2</sup>Portfolio composition is as of 6/30/2025 and is subject to change at any time.

<sup>3</sup>Source: Newton. Certain securities may not remain in the portfolio at the time that you receive this report. Variations in totals are due to rounding.

ETF shares are listed on an exchange, and shares are generally purchased and sold in the secondary market at market price. At times, the market price may be at a premium or discount to the ETF's per share NAV. In addition, ETFs are subject to the risk that an active trading market for an ETF's shares may not develop or be maintained. Buying or selling ETF shares on an exchange may require the payment of brokerage commissions.

**Market Price** is the most recent NASDAQ Official Closing Price. **Premium/Discount** Shareholders may pay more than net asset value when they buy Fund shares and receive less than net asset value when they sell those shares, because shares are bought and sold at current market prices. **20-Day Average Volume** is the sum of last 20 days of trading volume divided by 20 days. **30-Day Median Bid-Ask Spread** gives investors the midpoint within the spread between an ETF's selling price and buying price. It is calculated over the last 30 days in 10 second intervals by dividing the difference between the bid (sell price) and offer (buy price) by the midpoint of the National Best Bid Offer (NBBO) and identifying the median of those values.

**Risks: Equities** are subject to market, market sector, market liquidity, issuer, and investment style risks, among other factors, to varying degrees. There can be no assurance that a company identified as an innovation-driven company by NIMNA will ultimately introduce a new product or service or that such product or service may not be significantly delayed or have the affect NIMNA anticipated. The returns on a portfolio of securities that are viewed by NIMNA as innovation-driven companies may trail the returns of a portfolio that is not limited to securities of innovation-driven companies. Investing only in securities of innovation-driven companies may affect the fund's exposure to certain types of investments and may adversely impact the fund's performance depending on whether such investments are in or out of favor in the market. **ETFs trade like stocks, are subject to investment risk, including possible loss of principal.**

The fund will issue (or redeem) fund shares to certain institutional investors known as "Authorized Participants" (typically market makers or other broker-dealers) only in large blocks of fund shares known as "Creation Units." BNY Mellon Securities Corporation ("BNYSC"), a subsidiary of the BNY, serves as distributor of the fund. BNYSC does not distribute fund shares in less than Creation Units, nor does it maintain a secondary market in fund shares. BNYSC may enter into selected dealer agreements with Authorized Participants for the sale of Creation Units of fund shares.

The information being provided is general information about our firm and its products and services. It should not be construed as investment advice or a recommendation with respect to any product or service and should not serve as a primary basis for investment decisions. Please consult a legal, tax or financial professional in order to determine whether an investment product or service is appropriate for a particular situation.

The investment adviser for the fund is BNY Mellon ETF Investment Adviser, LLC (BNYETF). BNYETF has engaged its affiliate, Newton Investment Management North America, LLC (NIMNA), to serve as the fund's sub-adviser. NIMNA has entered into a sub-sub-investment advisory agreement with its affiliate, Newton Investment management Limited (NIM), to enable NIM to provide certain advisory services to NIMNA for the benefit of the fund. All are subsidiaries of The Bank of New York Mellon Corporation. BNY is the corporate brand of The Bank of New York Mellon Corporation.

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