

## Order Routing SEC Rule 606

Under SEC Rule 606(a), broker-dealers that route equity and option orders on behalf of customers are required to prepare quarterly reports that disclose specific information about their order routing practices for non-directed orders in NMS stocks and option contracts in NMS securities.

BNY Pershing has provided the data concerning the routing of order flow (the "Data") on the order routing disclosure website. Although BNY Pershing has used commercially reasonable efforts to provide accurate data, the data is provided to you on an "as is" basis.

To read this information, visit the [order routing disclosure site](#). In the text box of the search feature at the top of the home page, enter Pershing LLC, as this will be used as the search criteria.

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