

## EMEA Privacy Notice

**The following applies to the collection and processing of personal information relating to individuals in the European Union (EU) and United Kingdom (UK).**

Your personal information will be collected by Pershing LLC and Pershing Advisor Solutions LLC (collectively referred to as “Pershing Group”, “we”, “us”, “our”) and will be used for the following purposes:

- processing that is necessary for the performance of a contract into which you have entered;
- to comply with a legal obligation that we have, for example where we are required to report to tax authorities;
- for regulatory reasons that are in the public interest, for example to prevent and detect financial crime;
- for other purposes set forth in BNY’s full EMEA Privacy Notice which is available at <https://www.bny.com/corporate/emea/en/privacy-policy.html>

Your personal information **will** be shared within The Bank of New York Mellon Corporation and its affiliates (collectively, “BNY”) where such disclosure is necessary to provide you with our services or to manage our business.

Your personal information **will** be shared with external third parties as described below:

- third parties who help manage our business and deliver services. These third parties have agreed to confidentiality restrictions and use any personal information we share with them or which they collect on our behalf solely for the purpose of providing the contracted service to us. These include IT service providers who help manage our IT and back office systems;
- agencies and organizations working to prevent fraud in financial services;
- regulators and other governmental agencies;
- to comply with applicable laws, regulations and rules, and requests of law enforcement.

Pershing Group may, in the future, sell or otherwise transfer some or all of its assets to a third party. Your personal information, technical information about your device or browser and/or other anonymous information we obtain from you via the websites under the control of BNY that may be disclosed to any potential or actual third-party purchasers of such assets and/or may be among those assets transferred.

Pershing Group will transfer or store your personal information in other countries, including those outside the European Economic Area, under the protection of appropriate safeguards.

For more information about the collection, use and sharing of your personal information and your legal rights please contact your financial organization (such as your financial adviser, RIA or Broker) in the first instance, or see BNY’s full EMEA Privacy Notice which is available at <https://www.bny.com/corporate/emea/en/privacy-policy.html> If you still have any queries regarding this notice you can also contact us at [BNYM.Pershing.Privacy@bny.com](mailto:BNYM.Pershing.Privacy@bny.com)

We may share in aggregate, statistical form, non-personal information regarding the visitors to our website, traffic patterns, and website usage with our business partners, affiliates or advertisers.

This notice applies to the EMEA (Europe, Middle East, Africa) region. For all other regions, please visit Pershing’s global privacy notice webpage at <https://www.bny.com/pershing/us/en/data-privacy.html>