

STRUCTURED INVESTMENTS

KEY BENEFITS

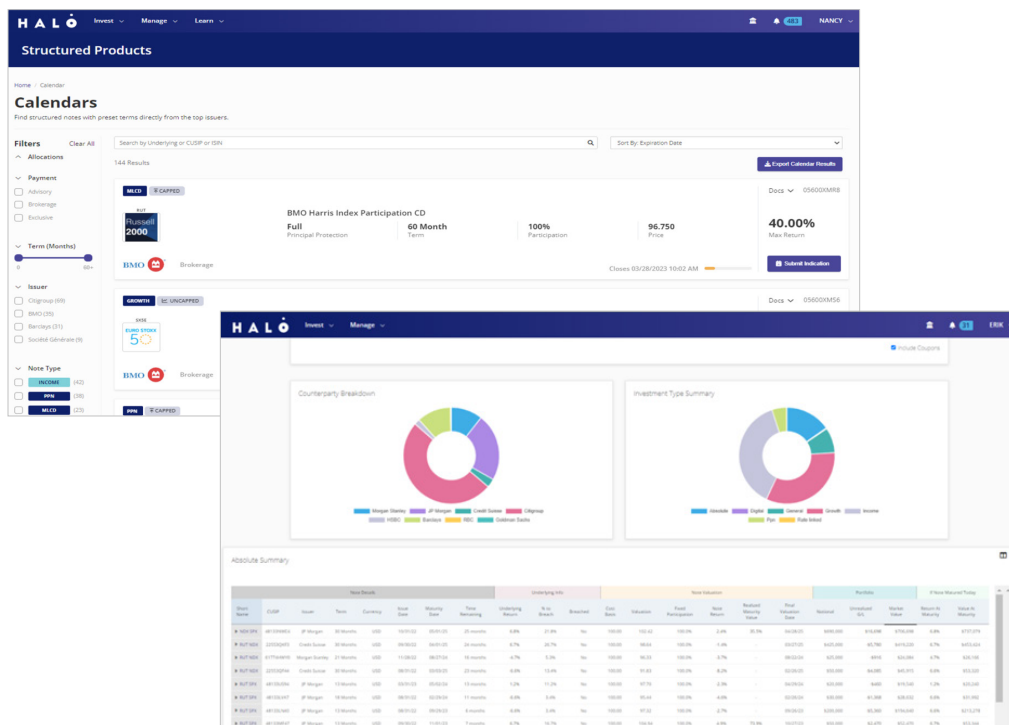
- Descriptions with key features for each structured investment
- Ability to query a tailored list of structured investments by specific criteria
- Easy, integrated access to NetX360 client accounts from the Halo order entry screens
- Client portfolio analytics focused on structured investments
- Lifecycle management tools with optional alerts:
 - Notes Called
 - Missed Coupon
 - Coupon Paid
 - Coupon Coming Soon
 - Note Matured
 - Roll Opportunity
 - Yield Pick Up
- Flexibility to work in concert with wholesaler relationships

Designed to deliver a premier user experience and provide decision-making tools for selecting the right structured investments for clients

BNY Mellon's Pershing is now integrated with Halo Investing, an award-winning platform for structured investments, to include Halo's independent structured investments platform in Pershing's NetX360®. For the first time, RIA and Broker Dealer (BD) firms on NetX360 will be able to access advanced technology, analytics, controls and portfolio tools to trade and manage structured investments. Access is available through an easy, single sign on via NetX360's Fixed Income Center.

STRUCTURED INVESTMENTS LIFECYCLE & ANALYTICS

Whether structured investments are purchased through the integrated Halo solution or are already in your clients' portfolios, your clients' structured investments will be incorporated into Halo's Analytics and Lifecycle Event notifications. This displays counterparty and underlier breakdowns, percent to breach, coupons remaining, next observation date and much more.



EDUCATIONAL MODULES & GLOSSARY

A series of structured investment training modules coupled with a glossary, have been incorporated into the platform to help educate advisors about structured investments. Each course is followed by a brief multiple-choice assessment.

Navigate to the education modules by clicking:

“Learn” > “Education Center” found within the Halo platform

Access The New Issue Structured Investment Offerings

Log in to
NetX360

1

Click Trading >
Fixed Income Center

2

From the New Issue
section of the screen,
select “Structured
Products – Halo”

3

Calendar page will
display and contain all
available structured
investments your firm
has elected to offer

4

Offerings can be further refined by CUSIP, Underlying Security, Note Type, Type of Protection (e.g., Hard vs. Soft or Conditional), Level of Protection, Expiration Date, Term, Issuer, Advisory vs. Brokerage, and more.

LEARN MORE

For more information, contact
fixedincomebizdev@pershing.com.

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pershing.com

One Pershing Plaza, Jersey City, NJ 07399

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