

DEFINITIONS AND USAGE OF FORMS

Available Digital Forms For Financial Representative To Send To Clients

Account Options Form

Add or change services such as Distribution Options, Automatic Asset Builder, Auto Exchange and Banking privileges on one or more of your accounts. The form must be signed by all registered owners.

Add/Change or Removal of Dealer Authorization Form

Shareholders that elect to change their Broker/Dealer of record can complete the Add/Change or Removal of Dealer Authorization Form. The form must be signed by all registered owners.

Address Change Form

Change the address of record on your account(s). The form must be signed by all registered owners.

Beneficiary Designation Form

Designate or change beneficiaries on your account(s) for IRAs or Non-IRAs Individual or Joint Tenant Transfer on Death (TOD) accounts. The form must be signed by all registered owners.

Cost Basis Election Form

Elect or change the cost basis method for one or more accounts.

It's important to note that money market funds and retirement accounts are exempt from cost basis reporting and should not be included on this form.

Name Change Form

Change the registration on an account because of a legal name change. The form must be signed by all registered owners.